



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/954,518

SCOTT C. HARRIS

Suite 500

Fish & Richardson P.C.

4350 La Jolla Village Drive San Diego, CA 92122 09/12/2001

Dean M. Philipp

06618-696001

CONFIRMATION NO. 8464

FORMALITIES LETTER

OC00000007028893

COPY OF PAPERS ORIGINALLY FILED

Date Mailed: 11/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 355 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$40.
 - □ \$40 for 1 independent claims over 3.
- o The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 460.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/20/2002 CVD111 00000055 061050 09954518

01 FC:201 02 FC:205 03 FC:202 370.00 OP 65.00 OP

2.00 CH

40.00 02

FEB 1 3 2002 THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Dean M. Philipp et al.

: Unknown Art Unit

Serial No.: 09/954,518

Examiner: Unknown

Filed

: September 12, 2001

Title

: INTERNAL LEWIS ACID SINGLE SITE CATALYST FAMILY FOR

POLYMERIZATION OF POLAR MONOMERS

BOX MISSING PARTS

Commissioner for Patents Washington, D.C. 20231

COPY OF PAPERS **ORIGINALLY FILED**

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Nonprovisional Application under 37 CFR §1.53(b) mailed November 6, 2001 (copy enclosed), applicant as a small entity submits herewith the following:

- \times Payment of the basic filing fee of \$370;
- Payment of the additional/multiple dependent claims fees of \$40; [X]
- Payment of the \$65 surcharge for filing the basic filing fee and/or declaration on a \times date later than the filing date of the application;
- Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63; \boxtimes
- Copy of the Notice to File Missing Parts of Nonprovisional Application; \times
- Check in the amount of \$475.00 as payment of the above fees; and \times
- \boxtimes Other: Postcard

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

January 7, 2002

Date of Deposit

Patricia Wiggins

Applicant: Dean M. Ph

Serial No.: 09/954,518 Filed

: September 12, 2001

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Respectfully submitted,

Timothy A. Porter Reg. No. 41,258

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